



## UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 12 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/896,006         | 06/28/2001          | Troy M. Herndon       | 8032988/JAS            |

CONFIRMATION NO. 9333

## FORMALITIES LETTER

THOMASON, MOSER & PATTERSON, LLP  
Suite B  
4149 El Camino Way  
Palo Alto, CA 94306-4036

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FEB 22 2002



\*OC00000006445041\*

OFFICE OF PETITIONS

Date Mailed: 08/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Scotts Valley and Francois Menoud*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/15/2002 GTEFFERA 00000081 200782 09896006

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**CERTIFICATE OF MAIL (37 CFR 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on FEB 22, 2002

Signed: Laura Hulac

Laura Hulac

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

HERNDON et al

Serial No. 09/896,006

Filed: June 28, 2001

For: RESONANT SHIFTING AND REDUCTION  
OF MODAL DISPLACEMENT FOR  
IMPROVED ACOUSTICS

Art Unit: 2651

Examiner: Not Yet Assigned

Attorney Docket No.: 8032988/JAS

**TRANSMITTAL**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

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**FEB 22 2002**

**OFFICE OF PETITIONS**

Dear Sir:

Please find enclosed the following documents relating to the subject patent application:

- [X] This Transmittal Letter;
- [X] Notice of Missing Parts of Nonprovisional Application dated August 20, 2001;
- [X] Petition for Extension of Time (3 mos.);
- [X] Signed Declarations;
- [X] Petition under 37 CFR 1.47 to Waive Signature of Inventor Francois Menoud;
- [X] Recordation Form Cover Sheet;
- [X] Signed Assignments; and,
- [X] Return Postcard

Please charge our Deposit Account No. 20-0782 (Order No. 8032988) \$130. for this filing, however, the Commissioner is authorized to charge any additional fees to Deposit Account No. 20-0782 (Order No. 8032988/JAS).

Respectfully submitted,

By: James A. Sheridan  
James A. Sheridan, Reg. No. 25,435

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December 3, 2001

Francois Menoud  
P.O. Box 66425  
Scotts Valley, CA 95067

RE: Herndon et al., U.S. Application for:  
RESONANT SHIFTING AND REDUCTION OF MODAL DISPLACEMENT  
FOR IMPROVED ACOUSTICS

Our File: 8032988/JAS

Seagate Ref. No.: SEA 2988

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FEB 22 2002

Dear Francois:

OFFICE OF PETITIONS

Enclosed is an original Declaration and Assignment for the above patent application. Please sign where indicated and fax this form back to us for filing with the Patent Office. **Please note that this form needs to be signed in the presence of a notary public.**

We need to receive these documents back by December 13, 2001 so that we can file them with the Patent Office.

If you have any questions or if there is a problem, please contact me.

Very truly yours,

MOSER, PATTERSON & SHERIDAN, LLP

*Jim Sheridan, by LH*

James A. Sheridan

JAS/lh  
Enclosures

Bcc: Raghunath S. Minisandram